



## Project Manager Internet Server3

A complete tool to Design and Manage Structure and Data in multi centre Registration Studies and Clinical Trials over Internet without additional software development

Ronald Brand & Henk Jan van der Wijk

Professor of Good Research Data Management, Biostatistician

& Scientific Programmer

Department of Medical Statistics, section Advanced Data Management

Leiden University Medical Center





# Objectives of the Section Advanced Data Management, LUMC

- Full integration of data management and statistics as a support structure for clinical scientific research in the broadest sense of the word
- Providing generic, cost effective and user friendly data management infrastructures to clinical researchers, data managers, patients and statisticians
- Fine tuning of the data collection to the scientific use of the data by researchers, statisticians and data managers
- Broad support by an independent Department of Biostatistics with knowledge of both data management and statistical methodologies for the analysis of outcome parameters and peer review in multi center situations



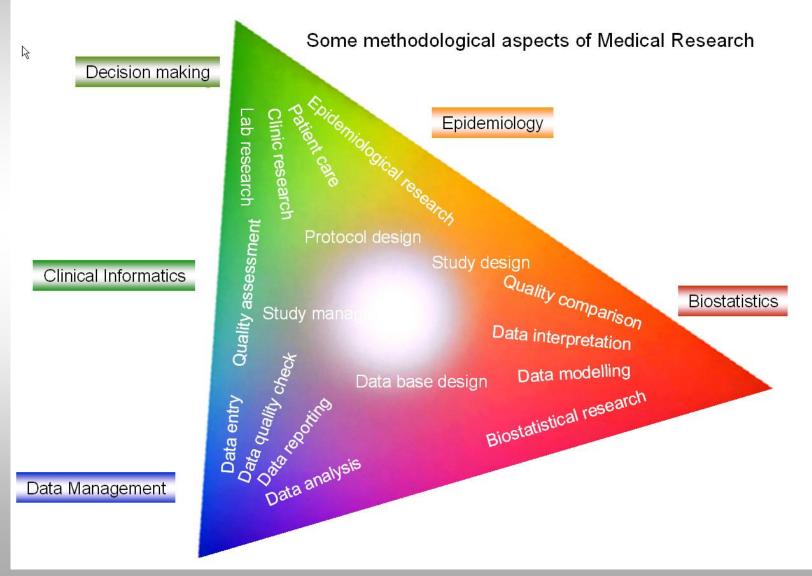


# Objectives of the Section Advanced Data Management, LUMC

- Since the inception of ProMISe, the software in use to build infrastructures for clinical research, we have done that for **35** research organizations in the Netherlands and abroad in over **200** projects, with **15.000** users on-line in **480.000** sessions working on over **5.000.000** patient and followup records and an audit trail of over **100.000.000** modifications to data elements.
- Subjects are e.g. bone marrow transplantation, cardiology, perinatology, pediatrics, internal medicine, orthopedics, psychiatry, neurology, neurosurgery, ophthalmology, gynecology, general surgery, traumatology and oncology
- Also policy-supporting registrations as a mortality audit in collaboration with the Dutch Insurance Companies, incident alerts, the nationwide orthopedic implants registry and quality comparisons of hospitals like the DSCA
- We study for example prostheses, pacemakers, heart defects, decision trees, aneurysms, traumas, Parkinson patients, hernias, blood transfusions, neuro modulation, migraine and colorectal tumors.

# Promise LUMC MC





Integration, coordination and unification



## User interface

- ProMISe contains a set of dynamic HTML forms, computed in real time and automatically reflecting the underlying structure of the project and any user preferences
- Extensive dynamic help file support during data entry
- No local software or Active-X components involved \*
  - Active-X is however required for very special applications like
    - "save-to-local-disk"
    - "read from external application (HIS)"
    - "decryption/encryption to/from local applications"
- The design minimizes data traffic over Internet at the expense of heavy use of the local PC in terms of processor load and memory requirements



# Efficiency and Security

- Only one software copy exists on a server and any updates take effect immediately in all projects and all centers
- No programming is involved in the design and maintenance of a project: data bases with structural information are continuously queried to generate the user interface dynamically
- All connections encrypted and secure
- Entire approach NEN7510 certified by Lloyds Quality Register
- Complete user management with the possibility of fine tuning access rights, scope of sessions, data locking, strong authentication







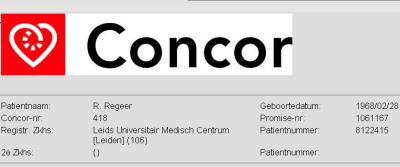
#### Interuniversity Cardiological Institute of the Netherlands

CONCOR: National Registry of cardiac events among patients with inborn cardiac malformations

Currently 13.600 patients, 60.000 events

DIPR: National Registry of all cardiac implants (pacemakers)

Currently 194.000 patients, 260.000 procedures



Datum	*	EPCC	Omschrijving	ICD9	ICD10	HE
1971/05/19 00	exact date	09.29.01	Aortic coarctation,		Q25.1	***
{date unknown}	exact date	09.15.22	Bicuspid aortic valve,		Q23.1	
1971/05/19 01	exact date	05.04.02	ASD within oval fossa (secundum),		Q21.1	
1974/07/12 00	exact date	12.18.00	Coarctation / hypoplasia of aorta repair,		?	
1974/07/12 01	exact date	10.14.01	Systemic hypertension,		I10	
2001/11/07 00	exact date	15.35.03	Residual aortic regurgitation,		Q23.1	

\* : Zekerheid van de datum HE : Hoofdevent

#### Opmerkingen

#### **Aanvullingen**

Datum laatste contact cardioloog Datum laatste contact CONCOR: Datum laatste wijziging: Niet meer onder behandeling?:

DNA afg:



Generates EPD-like patient overviews for inclusion in patient files

CIDR: National Registry of all PCTA and THVI procedures



#### **ORTHOPAEDICS**

LROI: National Registry of all Hip & Knee implants

Currently 116.000 hips, 83.000 knees

RESEARCH: all implants in department of orthopaedics of the LUMC (unification of all academic research)





#### QUALITY REGISTERS

DSCA: Dutch Surgical Colorectal Audit

Currently 26.000 colon/rectum surgical procedures

DS?A: Dutch Surgical ..... Audit: quality indicators among hospitals

Support from Ministry & Insurers for 8 additional Quality Registers if tools and the New Tools and the



DSCA | DUCA | DBCA | DLSA

**NIEUWS** 

Breast cancer; Lunc cancer; esophagus cancer still thing



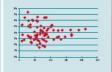
De dataverzameling van de DSCA is gebaseerd op het datamanagementsysteem ProMISe (Project Manager Internet Server) van de afdeling Medische Statistiek en Bio-informatica, sectie Advanced Data Management (ADM) van het Leids Universitair Medisch Centrum. ProMISe biedt een generieke infrastructuur voor medisch datamanagement over het internet en heeft strengbeveiligde webbased datamanagement mogelijk gemaakt in meer dan honderd projecten met zo'n 5.000 gebruikers in vele ziekenhuizen in Nederland en in Europa. De sectie ADM en de architectuur van alle ProMISe projecten zijn onlangs door Lloyds

ADM ontwerpt en ondersteunt met ProMISe uiteenlopende projecten zoals langlopende (inter)nationale
registraties, clinical trials en questionnaires, maar ook
kleine academische registraties met als uitgangspunt
de structurering en inbedding in het wetenschappelijk
onderzoek en de kwaliteitscontrole. Belangrijke begrippen bij het ontwerpen van projecten zijn analyseerbaarheid, efficiency, kwaliteitsborging, veranderbaarheid,
veiligheid en privacybewaking. Bij de implementatie



orectal Audit

**2009** registratie; waliteit van zorg







All clinical trials within the Surgery Data Centre

+- 8000 patients and > 50.000 follow up records

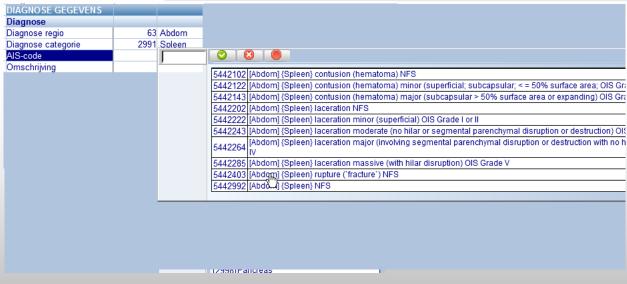
#### TRAUMA SURGERY



National and regional registries of all accidents in the Netherlands

>330.000 incidents, fully classified according to AIS score





Hierarchical coding systems with stepwise refinement



#### FUNDAMENTAL NATIONAL ACADEMIC CLINICAL RESEARCH

PSI: String of Pearls Initiative







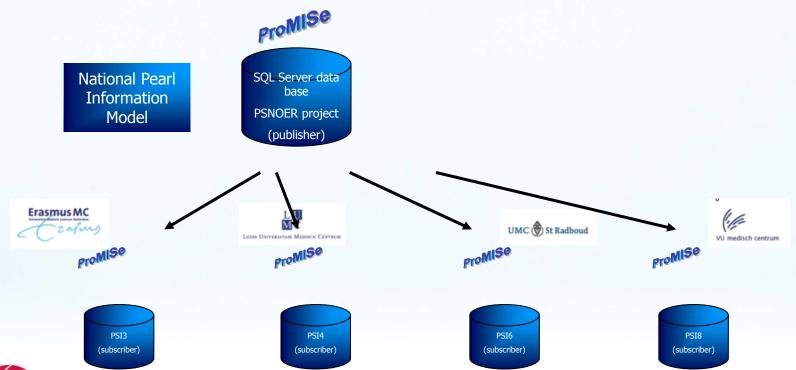
















The **Manager** of the project can maintain all production aspects of a project that need adjustment remotely including ..

- Participating Centers
- User authorization
- System performance
- Data sharing with other projects
- Project-wide parameters



- Infrastructure is "self-repairing" through internal consistency checks
- Manager can make full additional backups and store them locally for added safety
- Table indexes can be defined for increase in speed if certain types of queries are known to be used very often

The **Designer** of the project can change the design remotely while normal production continues!

Working on a separate instance of the entire project, the Designer can modify...

- The relational structure
- Items (fields)
- Codes & Labels
- Help files
- Triggers (quality checks)
- JavaScript functions

A "**DEMO**"-version with its completely separated data storage can be enabled automatically



### ProMISe: summary

ProMISe is a self-learning and programmer-independent environment ...

- to design and manage any clinical study
- from any place in the world
- offering a secure storage of privacy sensitive data
- in industry-standard data bases
- with automatically generated web pages
- for data entry & output via secure Internet
- with conversion to other data base systems or statistical software.

#### Just like ...

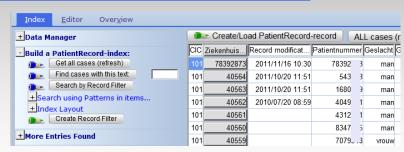
- a text-editor is a generic tool to write anything from a simple letter to a complicated book
- ProMISe is a tool to create any clinical data management environment, from simple studies to complicated ongoing disease registrations or clinical trials

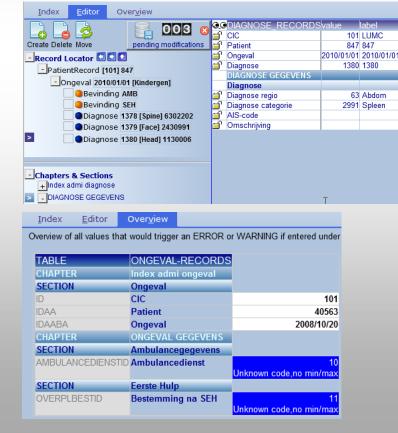


## ProMISe features: Data Entry



- Index to all cases (or any selection)
  - Quickly find cases based on (partial) texts
  - \* Complex criterion selector
  - Pattern searches in and sorting any item
  - History of previously loaded cases
- Data Entry Editor
  - Full relational overview of all records for a case
  - \* Quick overview of chapters and sections
  - Full quality checking during data modifications
- Overview in different formats including quality checks
  - Status, quality and time track overviews
  - \* Overview of pending modifications
  - \* Overview of current values on server







# ProMISe features: Reports

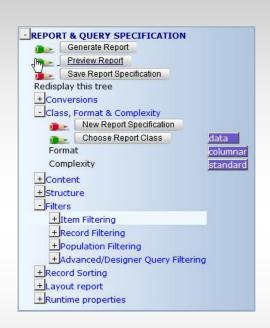


#### Specification

- \* Status, columnar, quality, survival, descriptive statistics
- \* Different complexity levels
  - Simple: just select items and optionally record filters
  - Advanced: menu guided specification of complex queries
  - Designer: arbitrary T-SQL
    - Stored functions, procedures and views
    - Post-processing after save of modifications
    - Fully automatic Microsoft Webservice creation
  - Log reports: retrieve info on any modification to any data item
- \* Store any specification for private or public use
  - Share queries with other users or centers
  - Auto-run specification

#### Output

- Columnar reports: switch between codes and labels; linked to Data Entry and frequency reports
- \* Descriptive statistics: linked to Columnar reports
- \* Quality reports: document all conditions not (yet) fulfilled





## ProMISe features: Exports (Jobs)



#### Specification

- \* Multiple purposes
  - Backup (with relational structure)
  - Report (rectangular result set of arbitrary query)
  - Run+Mail
  - Arbitrary T-SQL jobs
  - SQL-backup
  - Complete Dictionary (meta-data)
  - ProMISe Excel Prototyper (Designer tool for non-ProMISe experts)

#### \* Multiple formats

- MS-Access; Excel; SPSS; XML; CSV
- \* Options
  - Automatic split by Center or Registry
  - Removal of identification of Center or Case
  - Excel exports contain library of useful data management tools

#### Specification

- \* Filtering
  - Arbitrary selection of items
  - Ad-hoc application and/or embedding of record and population filters
  - All exports can be based on advanced or designer queries instead of original relational structure
- \* Scheduling
  - One-time run; daily, weekly or monthly runs
- Output
  - Zipped with optional password
  - \* Placed in Secure Download Facility
  - \* Encrypted and audited download

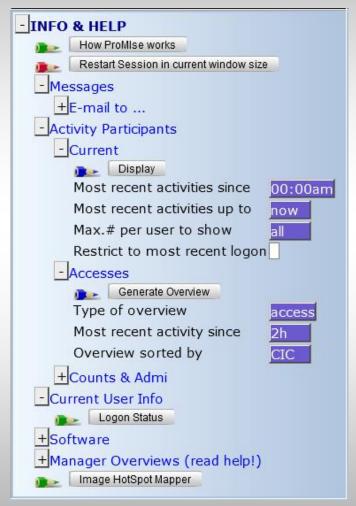




### ProMISe features: Help



- Server based e-mails to other users with optional screenshot attachments
- Overview of activities of users
- Overview of project access by users
- Special manager overviews of meta data
- Utility to make arbitrary graphics "clickable" and produce codes for data entry

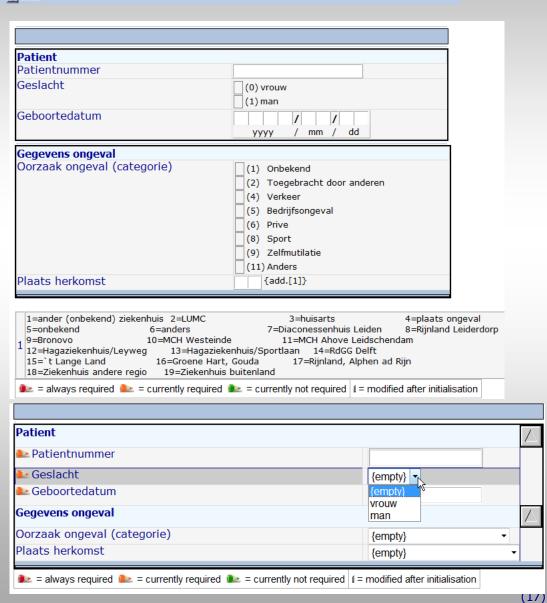




### ProMISe features: Item Filters



- Define and store subsets of Items
  - \* Apply these filters to Reports, Exports, Data Entry and Uploads
  - Store as private or public for reuse
- Define additional properties as...
  - Paper Coding Forms (pCRF)
    - Empty forms
    - Pre-filled with data from Server
  - \* Electronic Coding Forms (eCRF)
    - Automatically generated patient questionnaires
    - Full quality checking
    - Automatic e-mailing of web forms
    - Useful for Randomization, SAE reporting and Quality of Life questionnaires
  - \* Server to Server communication (sCRF)
    - In combination with web services data exchange between other servers and the ProMISe project



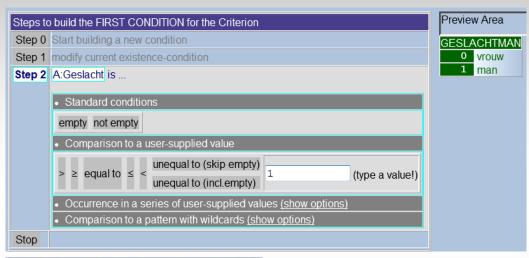


### ProMISe features: Record Filters



- Define criteria of arbitrary complexity
  - Point and click
  - Completely menu guided
  - \* Graphically displayed
- Execute
  - Preview during building
  - Turn into index for Data Entry
  - \* Embed in Reports/Exports
- Storage
  - \* Temporary during session
  - \* In a private/public library for re-use





```
CRITERION: Select records if it is true that ...
and...
[GESLACHTMAN] Geslacht EQ 1
```

```
CRITERION: Select records if it is true that ...

? | DAYSELAPSED(dd,[IDAABA] Ongeval,[GEBOORTEDATUM] Geboortedatum) LT -6575 (on unique parent)

and...

? | [ID] CIC IN [107,111]

and...

? | [IDAABA] Ongeval GE 2003/01/01

and...

? | [IDAABA] Ongeval LT 2009/01/01

and...

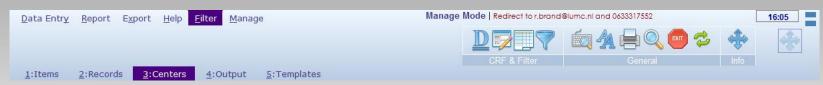
? | [DIAGNOSECATEGORIEID] Diagnose categorie EQ 2887

or...

? | [DIAGNOSECATEGORIEID] Diagnose categorie EQ 2907
```



### ProMISe features: Center Filters



- Switch to any center or registry or study within authorization scope
  - \* All actions automatically restrict to the Cluster switched to
  - Data managers can be authorized to enter data on behalf of other centers
  - \* Fine tuning of authorizations after switching

132	_	* Traumacentrum Zwolle	
134	_	* Traumacentrum AMC	
150	<u>?</u>	St. Elisabeth Ziekenhuis	
152	<u>?</u>	TweeSteden Ziekenhuis	
153	<u>?</u>	Jeroen Bosch Ziekenhuis	
154	<u>?</u>	Bernhoven Ziekenhuis Oss	
155	<u>?</u>	Franciscus Ziekenhuis	
156	<u>?</u>	Ziekenhuis Lievensberg	
157	<u>?</u>	Amphia Ziekenhuis Moleng	
158	?	Sint Anna Ziekenhuis	
159	<u>?</u>	Elkerliek Zlekenhuis	

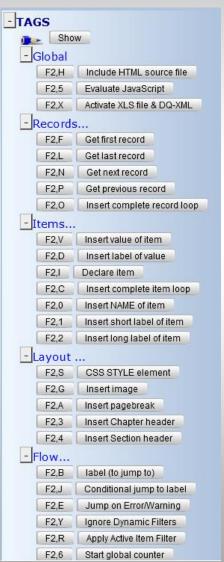


## ProMISe features: Template Filters



- Arbitrary HTML source code specification with automatic mail merge substitution
  - \* Define letters of discharge with free layout



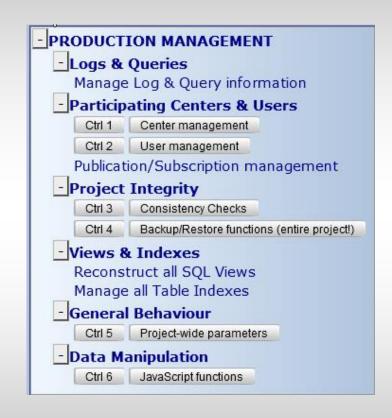




## ProMISe features: Project Management



- Center management
  - \* Define actual centers
  - Define Registries (virtual centers)
  - \* Define Studies for use in the Dashboard Facility
- User Management
  - \* Define users (accounts)
  - \* Specify contact details (e-mail, SMS)
  - \* Specify exact authorizations
  - \* Specify roles within the project
- Log file Management
  - Optimize all audit trails
  - \* Store off-line for efficiency
- Complete set of consistency checks
- Fine tune behavior of the project by specification of around 100 Project
   Wide Parameters
- Javascript Function Editor
  - \* Specify arbitrary complex functions for use in Triggers, Criteria and web forms

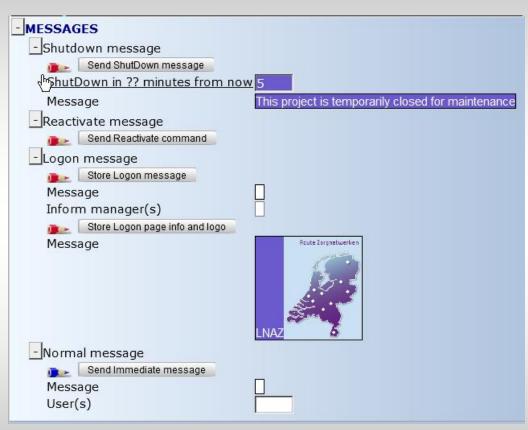




# ProMISe features: Project Messaging

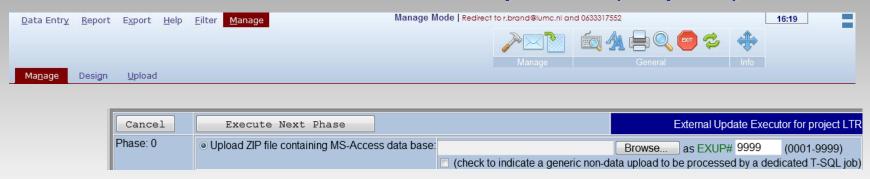


- Shutdown project for maintenance
- Reactivate project
- Store any logon message on the logon page
  - \* Automatically inform other managers about this message
- Store permanent information on logon page
  - \* Text
  - \* Logo
- Send messages directly to users
  - \* Pops up within ProMISe session
  - \* User must acknowledge reading the message





## ProMISe features: Data Upload (Import)



- Fully documented and flexible data upload utility
  - \* Reads MS-Access and XML exports back into the ProMISe project
    - XML / XSD allows for type checking at the source (for example when Hospital Information Systems are exporting data)
  - \* Full log trial as if data were entered by keyboard
  - \* Complete reporting on modifications before the actual execution
  - \* Reports can be converted to MS-Access / Excel for analysis
  - \* Fine tuning of type of modifications allowed (fill empty; modify filled; erase filled; new records allowed
  - \* Upload of arbitrary tables (non-ProMISe tables) can be performed by Managers
    - Specialized T-SQL jobs can process for example periodic updates of external dictionary information like updated coding systems



## ProMISe features: Project Design



#### Tables

- \* Add tables to the relational structure of the project
- \* Flag tables with specific colored bullets
- \* Enable CRF flow support

#### Partitions

- \* Group all items into logical Chapters and Sections
- \* Give descriptions with short and long headers and in up to 9 language alternatives

#### Items

- \* Add/modify items and specify properties
  - Type; length; minimum/maximum; missing values; short and long descriptions in up to 9 alternative languages; coding system; indication of units
- Move among sections and chapters
- \* Import definitions from other projects

#### Labels & Codes

\* Define codes and labels for coded items with short and long labels in up to 9 alternative languages (or synonyms)



# ProMISe features: Project Design (2)





#### Help files

- \* Internal help files tied to one specific item
  - Pictures
  - Short explanations
  - (un)rendered text, typed in by Designer allowing HTML
  - Links to other help files or websites rendered automatically as buttons
- \* External help files tied to one or more items
  - Supported types: .ASC,.TXT,.GIF,.JPG,.AVI,.WMV,.MP\$,.MOV,.HTM,.PDF,.DOC.,XLS
  - The name of the file matches automatically to items with the same (partial) name
  - Files can be tied to code sets
  - GIF files can be made click-sensitive and produce codes as alternative to text based input

#### Data Entry logic ("triggers")

- \* Arbitrary criteria to test for inadmissible values; warnings or notifications
- \* Adjusting data entry flow by conditional jumps to specific items
- \* Conditional hide/show subsets of codes depending on values already entered
- \* Conditional hide/show of items or sections depending on values already entered
- \* Automated creation of records in the relational structure based on logical criteria
- \* Support for automatically evaluated formulae based on logical criteria
- \* All triggers have counterparts to "program" behavior of questionnaires

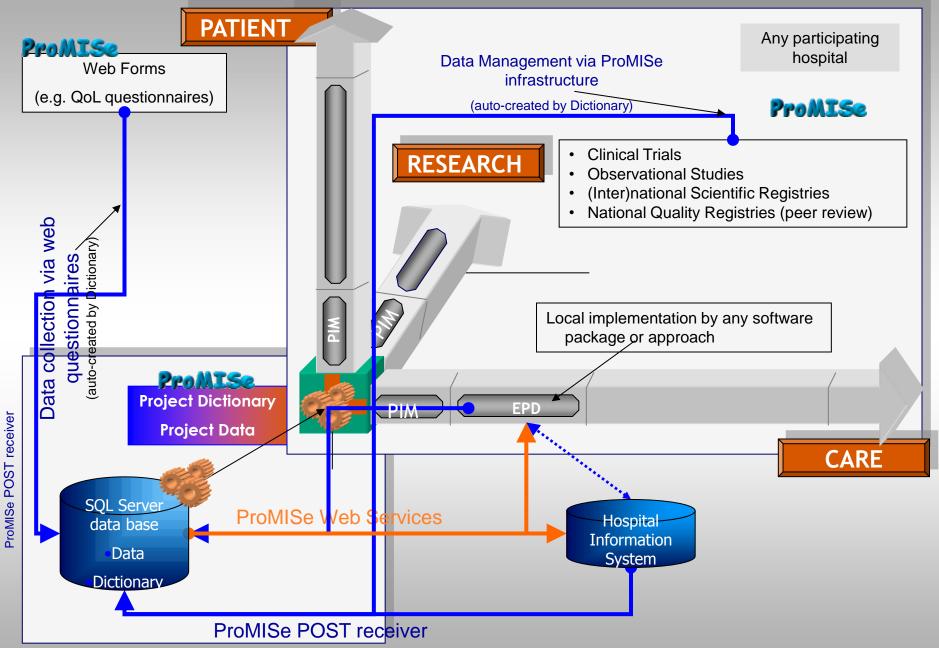


# Example of a complex national research infrastructure

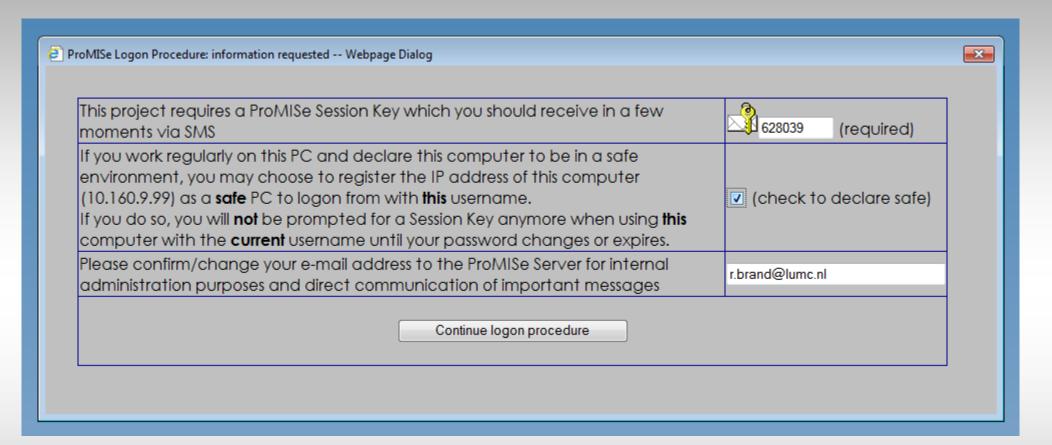
#### Features

- \* One central Dictionary covering 8 (rare) diseases
- \* Each University Hospital subscribes to the common Dictionary
- \* Four hospitals extend their local copy with local Dictionaries
- \* Data are obtained by real-time conversion from Hospital Information Systems as well as manual input





# Authentication

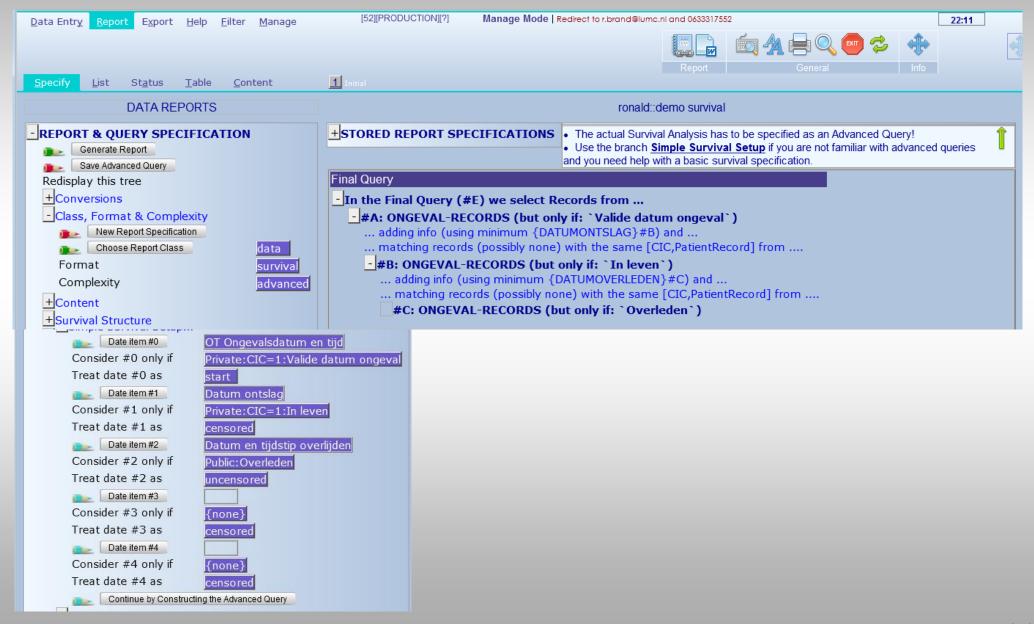


# Frequency tables and summary measures

<u>Specify</u> <u>List</u> St <u>a</u> tus		<u>Table</u> <u>C</u> ontent									
Oorzaak ongeval categorie)		Count	Total %	Valid %	Cumul %	mean	count	std.dev.	minimum	maximum	sum
[sysmis}	null	23930	56%		0%	0.022987	23231	0.149864	0	1	534
Onbekend	1	1458	3%		0%	0.024005	1458	0.153119	0	1	35
Toegebracht door anderen	2	640	1%	4%	4%	0.032813	640	0.178285	0	1	21
/erkeer	4	3789	9%	22%	25%	0.017683	3789	0.131813	0	1	67
Bedrijfsongeval	5	432	1%	2%	28%	0.020833	432	0.142992	0	1	9
Prive	6	10928	25%	62%	90%	0.032394	10928	0.177052	0	1	354
Sport	8	1540	4%	9%	98%	0.003247	1540	0.056906	0	1	5
Zelfmutilatie	9	183	0%	1%	100%	0.054645		0.227909	0		10
Anders	11	84	0%	0%	100%	0.047619	84	0.214238	0	1	4
TOTAL				17596				Overle	eden?		
counting Ongeval-records											
Oorzaak ongeval categorie)		Count	Total %	Valid %	Cumul %	mean	count	std.dev.	minimum	maximum	sum
[sysmis}	null	23930	56%		0%	0.979741	21508	0.061698	0.001575	1	21072.275989
Onbekend	1	1458	3%		0%	0.984967	1408	0.028614	0.137922	1	1386.833918
Toegebracht door anderen	2	640	1%	4%	4%	0.971079	614	0.134842	0.000017	1	596.242256
/erkeer	4	3789	9%	22%	25%	0.974875	3585	0.088678	0.003164	1	3494.925525
Bedrijfsongeval	5	432	1%	2%	28%	0.975881	423	0.101021	0.025206	1	412.797835
Prive	6	10928	25%	62%		0.979768	10595			1	10380.645737
Sport	8	1540	4%	9%	98%	0.99277	1519	0.03912	0.040427	1	1508.017714
Zelfmutilatie	9	183	0%	1%		0.938953			0.000349		156.805222
Anders	- 11	84	0%	0%	100%	0.973074	79	0.094652	0.179353	1	76.872819

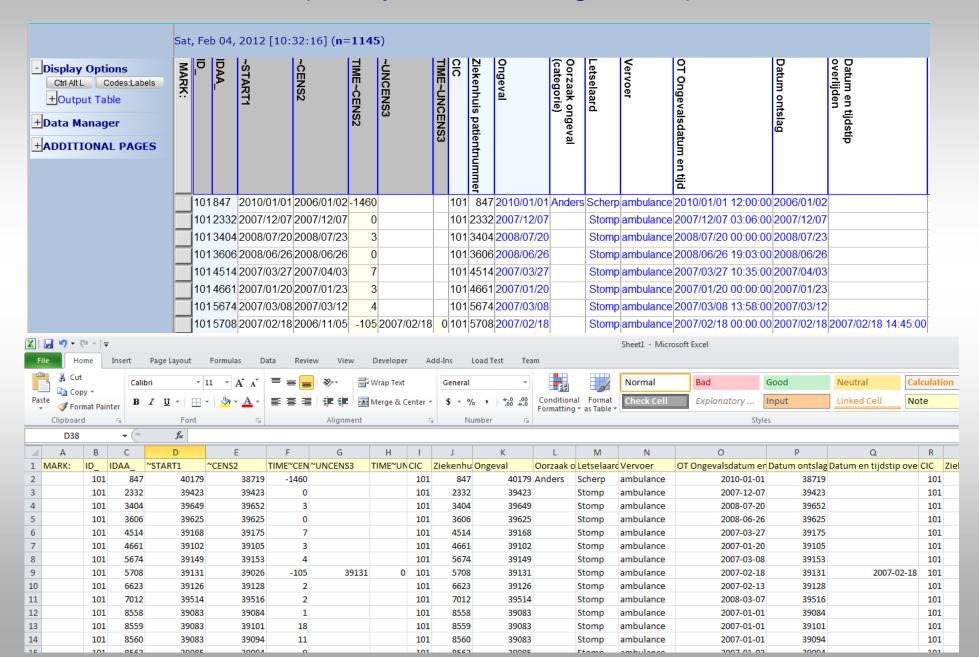
If a scoring system is also implemented (as a Javascript formula or as SQL post processing) we can compare the observed death rate (1-0.032=0.978) with the expected one under International classification (0.980)

# Simple survival analysis

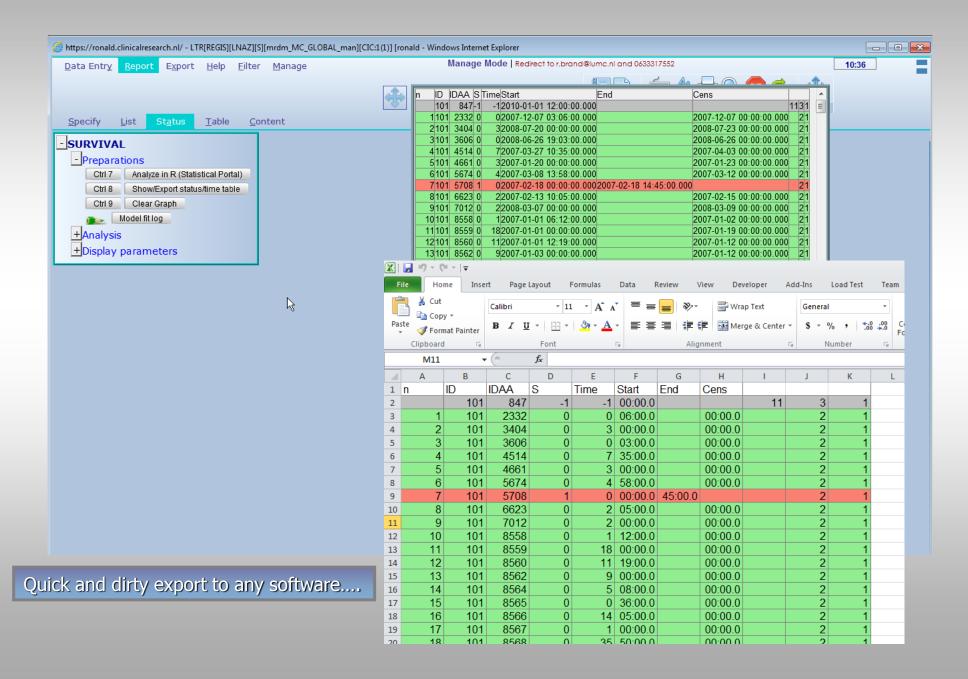


# Immediate conversion of results

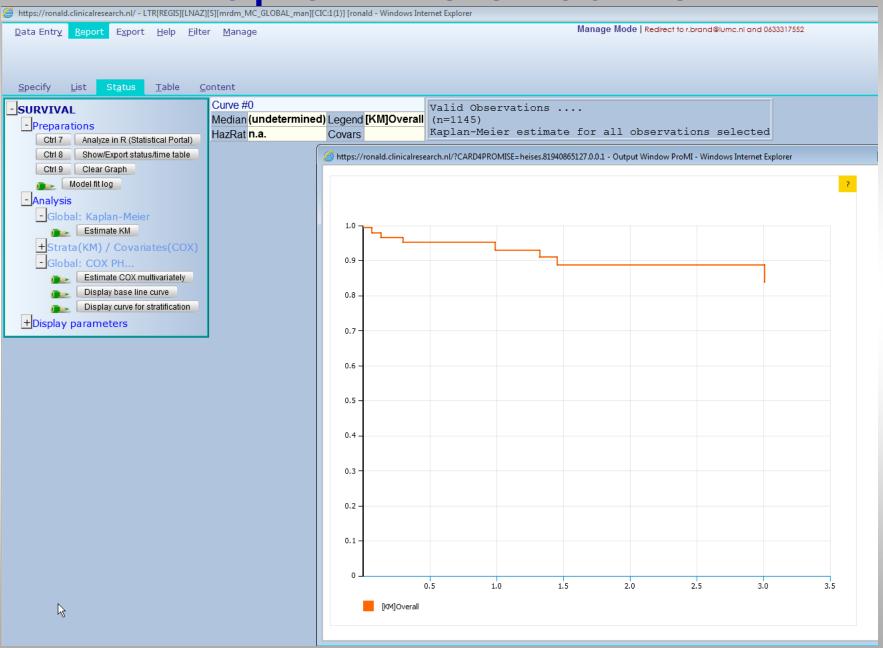
(to any software, e.g. Excel)



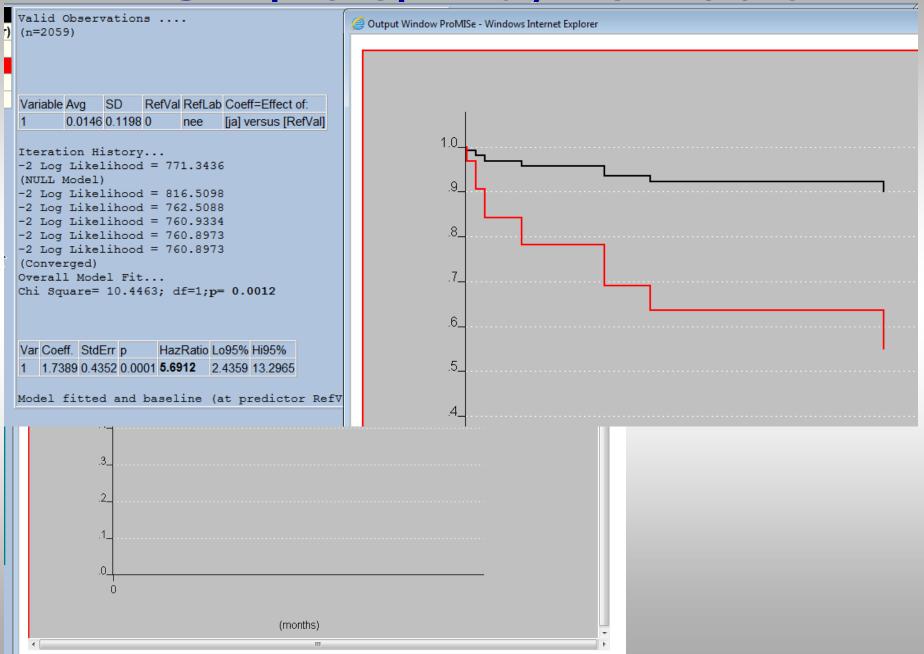
# **Data for Survival**



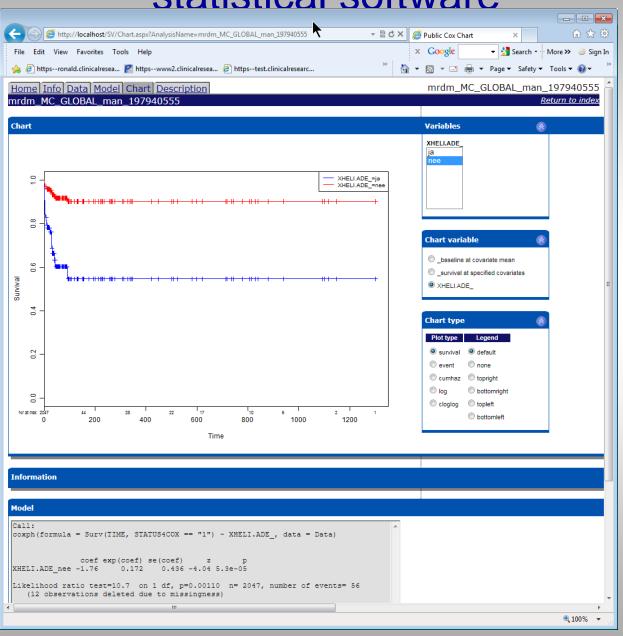
# Kaplan Meier curve



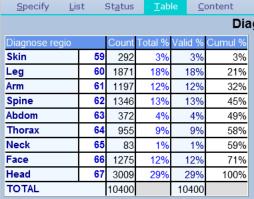
# Simple split by risk factor



# Possibility to link to professional statistical software



# Simple Frequency tables

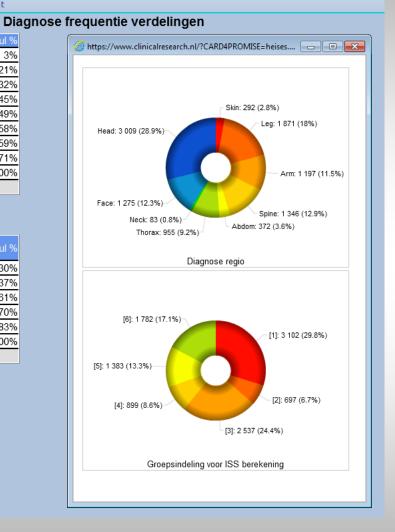


· counting Diagnose-records

List

Groepsindeling voor ISS berekening	Count	Total %	Valid %	Cumul %
1	3102	30%	30%	30%
2	697	7%	7%	37%
3	2537	24%	24%	61%
4	899	9%	9%	70%
5	1383	13%	13%	83%
6	1782	17%	17%	100%
TOTAL	10400		10400	

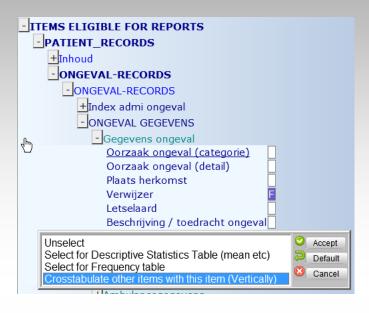
· counting Diagnose-records

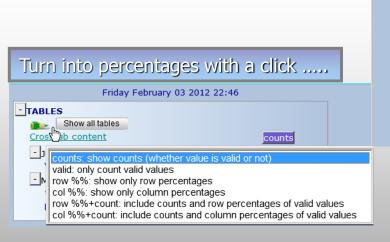


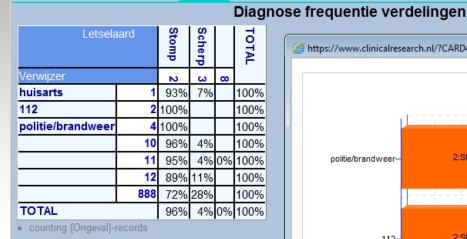
#### Charts can be saved as graphics

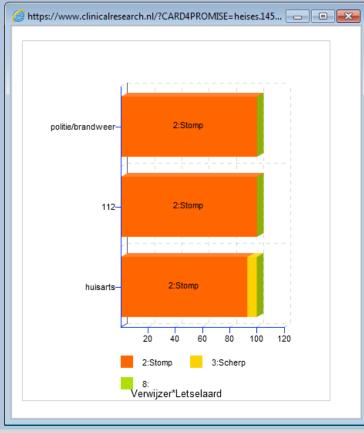


# Counts and percentages

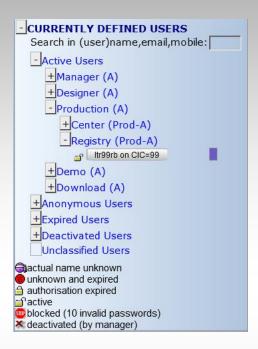


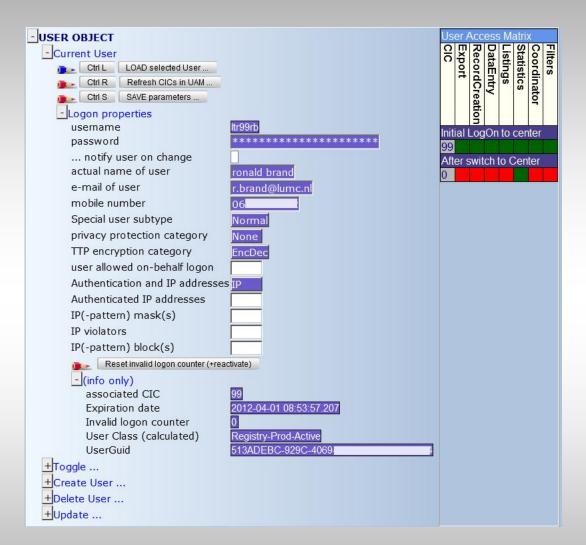




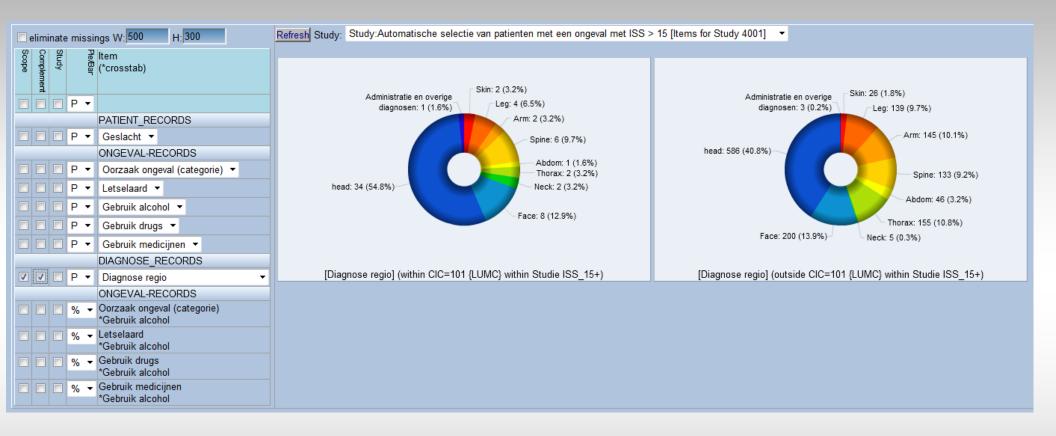


# User management and authorisation levels





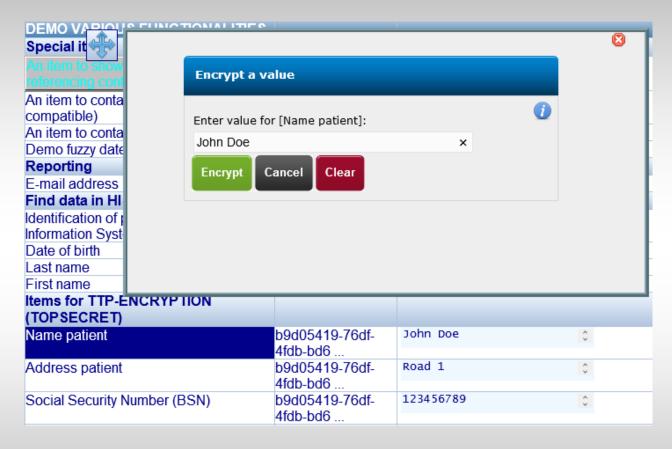
# Dashboard functionalities (trauma project)



# TRES: Real-time encryption via TTP

- Dual Control Access to identifying data only after authentication by CDMS as well as the Tres software
- Separation of duties CDMS authorizes access to data, TTP authorizes decryption and thereby access to identification
- Split Knowledge TTP has no access to the data and CDMS has no knowledge on decrypt permissions.

# Fully integrated into data magement

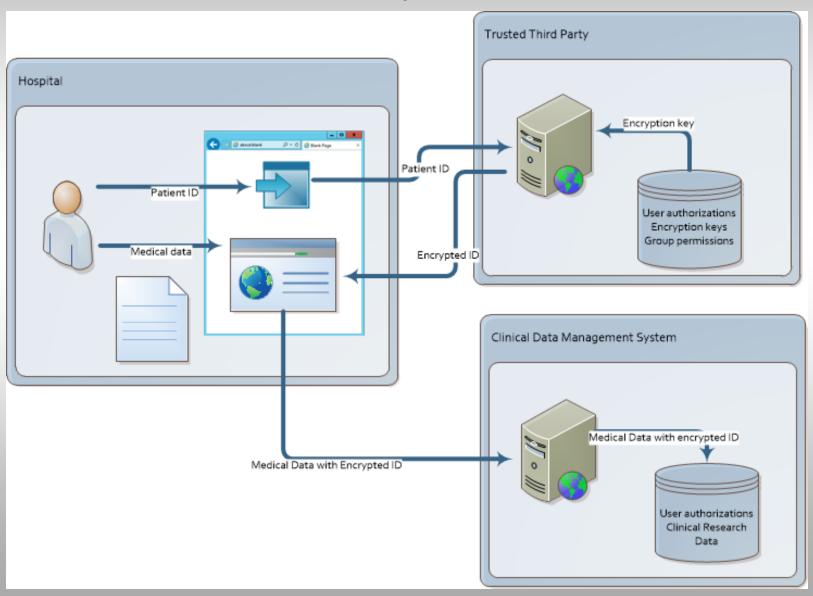


# No access to encrypted data without TTP authentication

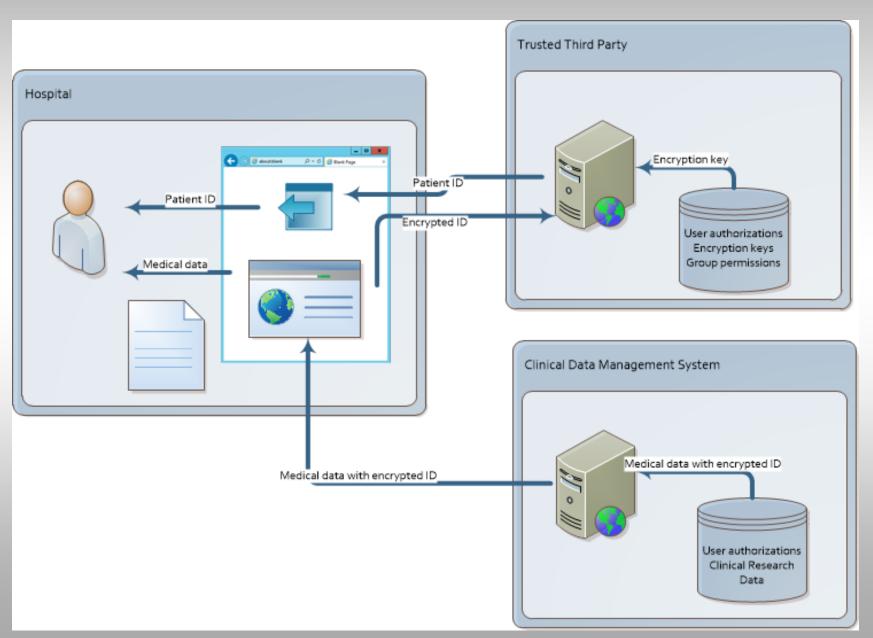
Items for TTP-ENCRYPTION		
(TOPSECRET)		
Name patient	f0a2b963-db9e- 4f0b-ba6	Not connected to TTP
Address patient		
Social Security Number (BSN)	f0a2b963-db9e- 4f0b-ba6	Not connected to TTP

Items for TTP-ENCRYPTION (TOPSECRET)			
Name patient	f0a2b963-db9e- 4f0b-ba6	No decrypt permission.	¢
Address patient			
Social Security Number (BSN)	f0a2b963-db9e- 4f0b-ba6	No decrypt permission.	¢

# The patient ID is entered in a TTP data entry form, encrypted by the TTP and the encrypted ID is then automatically entered in the CDMS



# The patient ID is decrypted by the TTP and displayed in a TTP form.



Research and analysis do not have access to the TTP and thereby no access to patient identifiers and with further limitations in CDMS anonymized access can be provided.

